



# Duke Exploration Limited

**DIRECTOR'S DISCLOSURE OBLIGATIONS**



## DUKE EXPLORATION LIMITED

ABN 28 119 421 868

The following information sets out a Director's disclosure obligations to enable the Company to comply with ASX Listing Rules. To assist in the notification process, Directors should use the Director's Declaration of Interest Form to provide the required information to the Company.

### **A) INITIAL DISCLOSURE**

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The Director must provide to the Company Secretary the following information as at the date they become a director:

- (a) details of all securities of the Company registered in the Director's name. These details include the number and class of the securities;
- (b) details of all securities of the Company not registered in the Director's name but in which the Director has a relevant interest within the meaning of section 9 of the Corporations Act. These details include the number and class of the securities, the name of the registered holder and the circumstances giving rise to the relevant interest; and
- (c) details of all contracts (other than contracts to which the Company is a party) to which the Director is a party or under which the Director is entitled to a benefit, and that confer a right to call for or deliver shares in, debentures of, or interests in a managed investment scheme made available by, the Company or a related body corporate. These details include the number and class of the shares, debentures or interests, the name of the registered holder if the shares, debentures or interests have been issued and the nature of the Director's interest under the contract.

### **B) ONGOING DISCLOSURE**

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The Director must provide to the Company Secretary the following information:

- (a) details of changes in securities of the Company registered in the Director's name other than changes occurring as a result of corporate actions by the Company. These details include the date of the change, the number and class of the securities held before and after the change, and the nature of the change, for example on-market transfer. The Director must also provide details of the consideration payable in connection with the change, or if a market consideration is not payable, the value of the securities the subject of the change;
- (b) details of changes in securities of the Company not registered in the Director's name but in which the Director has a relevant interest within the meaning of section 9 of the Corporations Act. These details shall include the date of the change, the number and class of the securities held before and after the change, the name of the registered holder before and after the change, and the circumstances giving rise to the relevant interest. The Director will also provide details of the consideration payable in connection with the change, or if a market consideration is not payable, the value of the securities the subject of the change; and
- (c) details of all changes to contracts (other than contracts to which the Company is a party) to which the Director is a party or under which the Director is entitled to a benefit, and that confer a right to call for or deliver shares in, debentures of, or

interests in a managed investment scheme made available by, the Company or a Related Body Corporate. These details include the date of the change, the number and class of the shares, debentures or interests to which the interest relates before and after the change, the name of the registered holder if the shares, debentures or interests have been issued, and the nature of the Director's interest under the contract.

The Director must provide to the Company Secretary the required information as soon as reasonably possible after the date of the contract to buy and sell the securities ("Contract Date") and in any event:

- (a) if the Director does not have a substantial holding, no later than 3 business days after the Contract Date; or
- (b) if the Director has, begins to have or ceases to have a substantial holding, the business day after the Contract Date.

### **C) FINAL DISCLOSURE**

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The Director must provide to the Company Secretary the following information upon the date of ceasing to be a Director:

- (a) details of all securities of the Company registered in the Director's name. These details include the number and class of the securities;
- (b) details of all securities of the Company not registered in the Director's name but in which the Director has a relevant interest within the meaning of section 9 of the Corporations Act. These details include the number and class of the securities, the name of the registered holder and the circumstances giving rise to the relevant interest; and
- (c) details of all contracts (other than contracts to which the Company is a party) to which the Director is a party or under which the Director is entitled to a benefit, and that confer a right to call for or deliver shares in, debentures of, or interests in a managed investment scheme made available by, the Company or a related body corporate. These details include the number and class of the shares, debentures or interest, the name of the registered holder if the shares, debentures or interests have been issued and the nature of the interest under the contract.

### **D) AGENCY**

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Each Director authorises the Company to give the information provided by the Director to ASX on the Director's behalf and as the Director's agent.

### **E) OTHER DISCLOSURE OBLIGATIONS**

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The Director acknowledges that the above provisions relate specifically to disclosure requirements under the ASX Listing Rules and that additional disclosure obligations arise under section 191 and other provisions of the Corporations Act.

The Director acknowledges that from time to time their independence status, as determined by the Board in accordance with the Company's Policy on Assessing the Independence of Directors, may change. In the event of a change or of a potential change, the Director shall immediately notify the Board.

I have read and understood the contents of this document.

Signature: \_\_\_\_\_

Name: \_\_\_\_\_

Date: \_\_\_\_\_