



Duke Exploration Limited

PRIVACY POLICY



DUKE EXPLORATION LIMITED
ABN 28 119 421 868

1 OVERVIEW

Duke Exploration Limited ACN 119 421 868 (**the Company**) and its subsidiaries (if any) (**the Group**) are committed to protecting and safeguarding privacy by ensuring that personal information which is collected by the Group, is handled in accordance with Australian Privacy Legislation and National Privacy Principles.

This Privacy Policy (**Policy**) outlines the ways in which the Group collects, uses and manages personal information obtained from Directors, employees, contractors engaged by the Group, shareholders and any other stakeholders.

The Group is bound by the Australian Privacy Principles (**APP** or **National Privacy Principles**) set out in the Privacy Act 1988 (Cth) (**Privacy Act**).

2 COLLECTION OF INFORMATION

The Group collects personal information:

- if it is necessary for one or more of its functions or activities;
- by lawful and fair means, and not in an unreasonable intrusive way; and / or
- where it is reasonable and practicable to do so, only from the individual.

In collecting information, the Group takes reasonable steps to ensure that the individual is aware of:

- the Group's name and contact details;
- the fact that the individual may gain access to the personal information provided;
- the purposes for which the personal information is collected;
- the organisations (or the types of organisations) to which the Group usually discloses personal information;
- any law that requires the particular personal information to be collected; and
- the main consequences (if any) for the individual if all or part of the personal information is not provided.

The Group will take reasonable steps to ensure that personal information which is collected, used or disclosed is accurate, complete and up-to-date.

3 HOW THE GROUP USES AND DISCLOSES PERSONAL INFORMATION

The Group may share your personal information with people within the Company who 'need to know' that information, and may disclose your personal information to third parties including the authorities; the Group's advisors; suppliers of IT services; third parties engaged by the Group for the purpose of providing services to shareholders; to protect any intellectual property rights in any materials displayed on or otherwise available from the Group's website; for the purposes of seeking legal or other professional advice; to respond to a legal request or comply with a legal obligation; and to enforce the Group's website Terms and Conditions of Use.

The Group may disclose your personal information to third parties in the event that the Group sells, buys or merges with any business or assets, including to prospective sellers or buyers of such businesses or assets.

Because the Group operates globally, your personal information may be shared with overseas entities. The Group may also contract with third party service providers located in the USA, Japan and other countries. While these parties will be subject to confidentiality, they are not required to comply with the Privacy Act, and may have different disclosure requirements under their local laws. Although information is encrypted where possible and efforts are made to protect your information, when you agree to this Privacy Policy, you acknowledge that the Company will not be responsible for the actions of overseas third parties. This list of countries may change from time to time.

4 SECURITY AND STORAGE OF PERSONAL INFORMATION

The Group will take reasonable steps to:

- □ protect the personal information held by the Group from misuse and loss;
- □ protect the personal information held by the Group from unauthorised access, modification or disclosure; and
- □ destroy or permanently de-identify personal information if it is no longer needed for any purpose permitted under the Privacy Act.

5 USE AND DISCLOSURE OF INFORMATION

The Group is permitted to use or disclose personal information where:

- □ the individual has consented to the use or disclosure;
- □ it reasonably believes that the use or disclosure is necessary to reduce or prevent a serious, immediate threat to health or safety;
- □ it reasonably suspects that unlawful activity has been, is being or may be engaged in and the use or disclosure is a necessary part of its investigation, or in reporting on the matter to the relevant authorities; or
- □ such use or disclosure is required under or authorised by law.

6 HOW TO ACCESS PERSONAL INFORMATION / RIGHT TO RECTIFICATION

The Company will take reasonable steps to ensure that personal information held about you is accurate, complete and up to date.

You may request access to, or correction of personal information about you which is held by the Company via writing to the Company's Privacy Officer.

7 COMPLAINTS AND CONCERNS

The Company has appointed a Privacy Officer to manage privacy enquiries and complaints. The Privacy Officer can be contacted by email at:

Email: info@duke-exploration.com.au

You can also obtain information at the Office of the Australian Information Commissioner's website at www.oaic.gov.au.

8 REVIEW

This Policy will be updated from time to time as required.

The Company Secretary will conduct an annual review of this Policy to ensure that it continues to reflect the most current guidance provided by the ASX and Office of the Australian Information Commissioner.

The Board will approve any amendments to this Policy.

9 PUBLICATION OF THE POLICY

This Policy is made available to all directors and staff of the Group, and published on the Group's website www.Duke-Exploration.com.au.

Approved by the Board

Date: 22 September 2020